



2152  
#2

PATENT  
STL920000095US1  
0055.0040

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	Examiner: Not Yet Assigned
J.J. Lin et al.	)	
Serial No.: 09/894,413	)	
Filed: June 28, 2001	)	Art Unit: 2152
For: METHOD, SYSTEM, AND	)	
PROGRAM FOR USING	)	
OBJECT IN DATA STORES	)	
DURING EXECUTION OF A	)	
WORKFLOW	)	

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Assistant Commissioner of Patents  
Washington, D.C. 20231

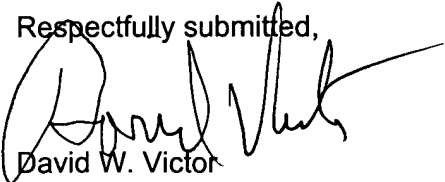
TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Dear Sirs:

In accordance with 37 CFR §§ 1.56, 1.97, and 1.98, enclosed please find Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application. Applicants submit that no fee is needed nor should be charged with the submittal of this Information Disclosure Statement (IDS) because this IDS is being submitted under 1.97(b) in that the IDS is being filed before the mailing date of a first Office Action on the merits or within three months of the filing date of a national application other than a continued prosecution application under 1.53(d). Applicants submit that a first Office Action has not been received in the above referenced case.

Nonetheless, should any fee be deemed necessary, please charge any insufficiency or credit any overpayment to Deposit Account No. 50-0585. A duplicate of this letter is enclosed for fee processing.

Respectfully submitted,



Dated: December 13, 2001

David W. Victor  
Reg. No. 39,867

KONRAD RAYNES VICTOR & MANN, LLP

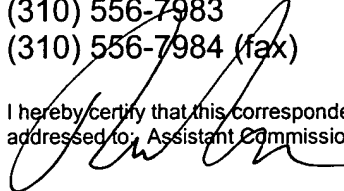
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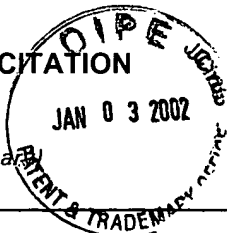
  
David W. Victor

12-13-01  
(Date)

FORM PTO-1449

# INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)



Docket Number  
STL920000095US1

Application Number  
09/894,413

Applicant  
JJ Lin et al.

Filing Date  
June 28, 2001

Group Art Unit  
2152

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROP.
	5	3	0	1	3	2	0	04/05/94	McAtee et al.			
	5	5	3	5	3	2	2	07/09/96	Hecht			
	5	5	7	2	6	7	3	11/05/96	Shurts			
	5	5	9	6	7	4	4	01/21/97	Dao et al.			
	5	6	3	4	0	5	3	05/27/97	Noble et al.			
	5	6	3	4	1	2	7	05/27/97	Cloud et al.			
	5	7	2	1	9	1	2	02/24/98	Stepczyk et al.			
	5	7	2	1	9	1	3	02/24/98	Ackroff et al.			
	5	7	3	4	8	3	7	03/31/98	Flores et al.			
	5	7	4	5	6	8	3	04/28/98	Lee et al.			
	5	7	5	2	0	2	7	05/12/98	Familiar			
	5	7	7	4	6	6	1	06/30/98	Chatterjee et al.			
	5	7	9	4	2	5	0	08/11/98	Cariño, Jr. et al.			
	5	8	2	6	2	3	9	10/20/98	Du et al.			
	5	8	6	0	0	6	6	01/12/99	Rouse			
	5	8	6	4	8	4	3	01/26/99	Cariño, Jr. et al.			
	5	8	7	3	0	8	3	02/16/99	Jones et al.			
	5	9	1	3	2	1	4	06/15/99	Madnick et al.			
	5	9	2	6	6	3	6	07/20/99	Lam et al.			
	5	9	3	0	5	1	2	07/27/99	Boden et al.			
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	6	0	6	5	0	0	9	05/16/00	Leymann et al.			
	6	0	7	3	1	0	9	06/06/00	Flores et al.			
	6	0	7	3	1	1	1	06/06/00	Leymann et al.			
	6	0	1	2	0	6	7	01/04/00	Sarkar			
	6	1	1	5	6	4	6	09/05/00	Fizsman et al.			

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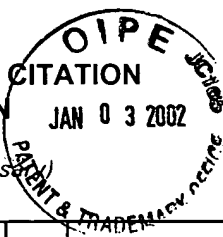
## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUBCLASS	Translation YES NO	
	0	0	1	4	6	1	8	16 Mar 00	PCT			FULL	

FORM PTO-1449

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Docket Number  
STL920000095US1Application Number  
09/894,413Applicant  
JJ Lin et al.Filing Date  
June 28, 2001Group Art Unit  
2152

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## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

U.S. Patent Application Serial No. # 09/894,074, filed on June 28, 2001, entitled, "Method, System, and Program for Generating a Workflow", invented by WF Miller and AT Yaung.

U.S. Patent Application Serial No. # 09/894,076, filed on June 28, 2001, entitled, "Method, System, and Program for Executing a Workflow", invented by AT Yaung.

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Dr. Leymann, F. et al. "Context Support For Program Activity Implementations of Workflow Management Systems", 1994, pp. 1-4.

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Vossen, G. "The WASA2 Object-Oriented Workflow Management System", 1999, ACM # 1-58113-084-8/99/05, pp. 587-589

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.